

Declaration and Power of Attorney United States Patent Application

UNITED STATES
Patents and Design Patents
Sole & Joint Inventors
Convention & Non-convention
PCT & Non-PCT
This form cannot be amended, altered
or changed after it is signed.
(For use only for inventors who
understand the English language.)

COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

VACUUM PROCESSING APPARATUS

(check one) ☒ is attached hereto.

☐ was filed as U.S. Application No. _____ on _____ and (if applicable) was amended on _____

☐ was filed as PCT International Application No. _____ on _____ and (if applicable) was amended under PCT Article 19 on _____

(I authorize any attorney appointed below to insert information in the preceding blanks.)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign and PCT application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America listed in this Declaration. I have also identified below any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application(s) on which priority is claimed:

Foreign/PCT Application No.	Country	Filing Date	Priority Claimed? (yes/no)
352648/1998	Japan	December 11, 1998	yes

I hereby claim the benefit under Title 35, United States Code, §120 or §365(c) of any United States application and PCT international application designating the United States of America listed in this Declaration and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Application No.	Filing Date	Status (patented/pending/abandoned?)

I hereby claim priority benefits under Title 35 United States Code §119(e) of any U.S. provisional application(s) listed below:

U.S. Provisional Application No.	Filing Date

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Michael K. Carrier, (42391), Joseph A. DeGrandi (17446), Thomas L. Evans (35805), Herbert M. Hanegan (25682), J. Rogers Lunsford, III (29405), Michael A. Makuch (32263), William F. Rauchholz (34701), Dennis C. Rodgers (32936), Charles L. Warner, II (32320), Robert G. Weilacher (20531), Richard G. Young (20628).

Send all correspondence to: Smith, Gambrell & Russell, LLP, Beveridge, DeGrandi, Weilacher & Young Intellectual Property Group, 1850 M Street, N.W. (Suite 800), Washington, D.C. 20036. All facsimiles may be sent to (202) 659-1462. Direct all phone calls to (202) 659-2811.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Makoto OKABE

Citizenship: Japan

Residence (city, state, country): Nirasaki-Shi, Yamanashi-Ken, Japan

Post office address: 634-24, Asahi-Machi-Kamijo-Nakawari, Nirasaki-Shi, Yamanashi-Ken, Japan

Signature: Makoto Okabe

Date: December 7, 1999

Full name of second joint inventor, if any: Hidetoshi KIMURA

Citizenship: Japan

Residence (city, state, country): Kita-Koma-Gun, Yamanashi-Ken, Japan

Post office address: 5421-32, Utsuya, Futaba-Cho, Kita-Koma-Gun, Yamanashi-Ken, Japan

Signature: Hidetoshi Kimura

Date: December 7, 1999

☐ Additional inventors and/or prior applications are listed in attached Supplemental Sheet(s).

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 20, 2000

PTAS

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/09/1999

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
OKABE, MAKOTO

DOC DATE: 12/07/1999

ASSIGNOR:
KIMURA, HIDETOSHI

DOC DATE: 12/07/1999

ASSIGNEE:
TOKYO ELECTRON LIMITED
3-6, AKASAKA 5-CHOME
MINATO-KU, TOKYO-TO, JAPAN

SERIAL NUMBER: 09457295
PATENT NUMBER:

FILING DATE: 12/09/1999
ISSUE DATE:

MAYA BENNETT, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) _____
VACUUM PROCESSING APPARATUS

The PATENT RIGHTS referred to in this agreement are:

- (check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.
- ☐ U.S. patent application Serial No. _____, filed _____.
- ☐ a U.S. patent application based on PCT International Application No. _____ filed on (date) _____ (U.S. patent application Serial No. _____, if known).
- ☐ U.S. patent No. _____, issued _____.

The PATENT RIGHTS also include all divisions, reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

- (check one) ☒ U.S. patent rights only.
- ☐ Worldwide patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. or foreign patent application for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) TOKYO ELECTRON LIMITED

(Address) 3-6, Akasaka 5-chome, Minato-ku, Tokyo-to, Japan

The ASSIGNEE is:

- (check one) ☐ An Individual.
- ☐ A Partnership.
- ☒ A Corporation of Japan (specify state or country)
- ☐ (other) _____

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, hereby assign(s) the following rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;

the entire right, title and interest in and to the PATENT RIGHTS;

the right to sue and recover for any past infringement; and,

the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

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THIS IS PAGE 2 OF AN ASSIGNMENT FROM THE INVENTOR(S) TO

ASSIGNEE: TOKYO ELECTRON LIMITED

INVENTION TITLE: VACUUM PROCESSING APPARATUS

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNORS authorize any attorneys and agents who have a power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

Makoto OKABE

Name of sole or first inventor

Makoto Okabe

Signature

December 7, 1999

Date

Hidetoshi KIMURA

Name of second inventor, if any

Hidetoshi Kimura

Signature

December 7, 1999

Date

Name of third inventor, if any

Signature

Date

Name of fourth inventor, if any

Signature

Date

Name of fifth inventor, if any

Signature

Date

Name of sixth inventor, if any

Signature

Date

Name of seventh inventor, if any

Signature

Date

Name of eighth inventor, if any

Signature

Date

Name of ninth inventor, if any

Signature

Date

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